Interactive trajectory modification and generation with FPCA

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Interactive trajectory modification and generation with FPCA

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Abstract
Moving object analysis is a constantly growing field with numerous concrete applications in terms of traffic understanding, prediction and simulation. While many algorithms and analytic processes exist, there are still areas of investigation with novel trajectory analysis methods. As such, the geometric information analyses data with respect to its statistical distribution along extracted dimensions. This opens new ways of gaining a better understanding of large and complex trajectory data sets while providing flexible data manipulations. In this paper, we report our investigations with the development of an interactive methodology based on the geometric information analytic process where users can analyze trajectories sets, cluster and deform them maintaining the actual statistical properties of the investigated trajectories. As a contribution, this paper shows how Functional Data Analysis can provide novel support for trajectory analyses taking into account the statistical properties of the investigated clusters. We also provide recommendations for efficient usage of the process, considering trajectory registration, initial clustering, trajectory deformation and generation. These recommendations are illustrated with actual examples validated by a domain expert of air traffic flow analysis.

Keywords Geographic/geospatial visualization · Data aggregation · Data cleaning · Data clustering · Data transformation and representation · Data editing · Manipulation and deformation · Multidimensional data · Geometry-based techniques

1 Introduction

Our society has entered a data-driven era, in which not only enormous amounts of data are being generated every day, but also growing expectations are placed on their analysis [1]. Trajectory data (i.e. flows of cars, airplanes or people) are collected every day and analyzing these massive and complex data sets is essential to making new discoveries and creating benefits for people. Processing such data is a challenging task due to their intrinsic, time-dependent nature. While machine learning heralds a solution to address the issues of big data and efficient knowledge extraction, alternatives do exist where humans play a central role with the usage of interactive visualization systems [2].

In this regard, this paper investigates a novel analytic method for trajectory processing using information geometry [3]. While general trajectory analysis relies on distance and time algorithms, information geometry uses differential geometry and probability theory [4]. Such analytic tools capture the intrinsic statistical properties of the investigated trajectories. Previous work [5] showed its potential to support visual simplification and visual flow modeling. Geometry information deserves further investigation which goes beyond its usage for visualization purposes.

Considering trajectory input data as a set of $\mathbb{R}^d$ curves, the standard multivariate statistical representation of a set of curves γ would be a set of d-dimensional samples [6–8]. However, this representation may not capture all relevant curve characteristics—e.g. its shape or smoothness. Functional data analysis [9] enables a better representation of multivariate data functions like curves. A curve is then modeled as a point in an infinite-dimensional space, usually the L^2 space of square-integrable functions [9]. Geometry information can then be used to obtain a finite representation of the data by means of Functional Principal Component

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Analysis (FPCA). This tool captures the data variability around the mean curve while estimating the Karhunen-loève expansion [9]. In other words, FPCA yields a finite basis describing the main variability modes contained in the data. Learning the distribution of the data on this basis enables two powerful applications: the generation of new samples with the same behavior, and the creation of samples with a user-deformed mean consistent with the collected data.

Traditional methods for trajectory generation are generally based on a series of space-time points generated by aircraft model data such as Based of Aircraft Data (BADA) [10]. Such techniques have shown their efficiency for trajectory simulation [11], characterization, and analysis [12], and have led to the development of fast-time air traffic modeling, and simulation software. However, they are not optimal for group of trajectory analysis since they usually apply a per trajectory analysis. Such limitation relies on the shortcoming of these tools to capture the intrinsic statistical properties of trajectory sets. In the context of noise contour simulation for new approach procedures, it is important to ensure that the trajectory flow statistical distribution is capture by the model to produce reliable results. This motivates the use of Functional Data Analysis with FPCA, which addresses such limitation and enables generation, while keeping a consistent flow distribution.

This paper applies geometry information for analytic purposes and proposes an analytic pipeline to support trajectory processing. This pipeline handles trajectory clustering, data cleaning, flow simplification, flow generation and flow transformation. This methodology was built with the help of air traffic experts to ensure the accuracy of the processed information.

This paper's contributions rely on the analytic pipeline and its guidelines to leverage trajectory analysis with geometry information tools. The article is structured as follows: Sect. 1 presents related works on existing trajectory processing algorithms. Section 2 lays the mathematical foundations for trajectory analysis limited to flow understanding and management which is one of the core task for an air traffic controller to insure smooth and safe traffic. The following section gives the basis of the information geometry. Next, we detail the pipeline followed by its use cases. Next, we discuss this paper with an extract recommendation for good usage of the tools. Finally, we conclude the paper with possible work extension.

2 Related work

There is abundant literature concerning the analysis of moving object trajectories. Even if it is a well-explored topic, it remains a popular area of research where geometry information has barely been used [5]. This paper fills this gap with a thorough usage of geometry information-based algorithms for trail set analysis and deformation. This section presents the main research challenges encountered in the fields of trajectory analysis, trajectory deformation and interactive exploration of trajectories.

2.1 Functional modeling

When manipulating objects that have a functional nature or are raised from a functional model, it is advised to preserve this model using appropriate tools. Functional Data Analysis (FDA), [9] is a tool that aims to precisely preserve the functional nature of data by expanding it into an appropriate finite functional basis. The input object is transformed into a coefficient vector, which can then be used in a multivariate framework. This enables the use of traditional multivariate statistics but with the insurance of keeping the functional behavior of the underlying objects. The choice of the basis is important since an appropriate basis choice can better capture some data features such as smoothness. Nevertheless, the main applications of FDA are real-valued functions such as spectrometric data [13] or weather data [14]. Nevertheless, there are few applications on vector-valued functions, such as the 2D or 3D curves considered in [15], and [16, 17] or trail set brushing [18].

2.2 Trajectory clustering, simplification and generation

Trajectory analysis often relies on clustering algorithms. Clustering can be performed on the geographical space [19] with density maps [20], with pattern similarities [21] or with time clustering [22]. It is also possible to define distances between trajectories to enable clustering [23], or to use dimensional reduction processes [15]. Using the Functional Principal Component Analysis for trajectory clustering has barely been investigated yet, which makes this study a precursor in the area.

New methods such as Generative Adversarial Networks allow to generate trajectories. A recent publication [24] proposes the use of GANs for the generation of aircraft trajectories and the detection of atypical approaches.

2.3 Trail-set simplification and manipulation

Introduced by Holten [25], visual simplification helps to remove clutter in dense graphs or trail-set visualization such as road traffic or air traffic visualization. Thanks to this interactive visual aggregation, several goals can be achieved supporting *select*, *navigate*, *filter* and *arrange* tasks [26, 27]. [27] provides a review of existing edge bundling techniques and details the existing algorithms and their usages. A more recent work shows how to use FPCA tools to support visual

simplification [5] but this work only operates on the visual perspective and no analytic processing is provided.

Other trajectory manipulation can be carried out, some based on the user's interaction [28], with variant layout deformation such as fisheye and bring & go techniques [29, 30] and edge plucking [31]. The fisheye deformation is illustrated in Fig. 1 on air traffic trajectories over France. Such techniques are refined in more recent papers [32, 33]. Transmogrification also interactively transforms graphs or trail-sets with users' input [34], but again such transformation only operated on the visualization side and no further data processing can be performed. The work presented in the following sections is inspired by these techniques to perform trail-set deformation while allowing its analytic processing.

2.4 Trajectory exploration tools

Exploring, analyzing and visualizing temporal data such as trajectories has a long history. Time series analysis [35] helps the extraction of relevant information. Frameworks [36] are available to gain a better understanding of such complex time-varying data sets thanks to aggregation techniques [37]. A recent visualization framework has been provided to structure efficient temporal data representations [38].

Many interactive tools and systems for trail-set exploration and manipulation exist. Selection boxes help to filter objects of interest [39] [40], particle systems help to understand flow directions [41]. More recently, image based techniques [42] have been applied for trajectory analysis [43]. Boolean operation can be performed to combine selections of trajectories [44] on a 2D screen or in virtual reality [45]. Overall, no previous system used the FPCA tools in a unified framework for trajectory analysis and this paper provides the first of the kind.

3 Mathematical foundations

This section provides the mathematical foundations to understand the Functional Data Analysis process. Section 2.1 underlines the representation of discrete trajectories in a function space. Section 2.2 explains how principal curves help to represent a set of trajectories. Section 3.1 shows how to generate a new distribution using these principal coefficients. Finally, Sect. 4 illustrates how to modify curves thanks to controlled deformations.

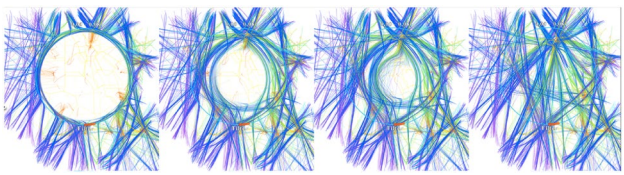

Fig. 1 Fisheye deformation applied to air traffic trajectories over France

3.1 Curve functional modeling

Functional Data Analysis considers curves as objects in an infinite dimensional space. This enables certain curve behaviours such as their shape or smoothness to be taken into account. To retrieve the functional model from discrete data, curves must be reconstructed in a dedicated functional space. It is mandatory that curves have two continuous first derivatives and thus belong to the L^2 space of square-integrable functions. Before applying functional decomposition, curves must belong to this space $\mathbb{W}^2$, so called Sobolev [46]. $\mathbb{W}^2$ is composed of functions f that meets the following criteria:

$$\mathbb{W}^2 = \{f \in C^1([0,1],\mathbb{R}), f' \text{ abs. cont.}, \int_0^1 f(x)^2 + f''(x)^2 dx < +\infty\} \quad (1)$$

To obtain a functional representation of the discrete curves, the choice of a cubic spline kernel K is made since it has smoothing properties and respects the condition of being in the Sobolev Space. A set of curves $P = \{\gamma_i\}$ is then represented by a matrix A where each row a_i represents γ_i in terms of spline coefficients. The reproducing kernel theory assumes a decomposition of a function γ_i as a series of a reproducing kernel (in our case a third degree polynomial) taken at a defined number of timestamp t_j called centers. The number of spline coefficients $a_{i,j}$ of a_i corresponds to the number of center plus two:

$$\gamma_i(t) = a_{i,0} + a_{i,1}t + \sum_{j=0}^{j=n} a_{i,j+2}K(t,t_j) \quad (2)$$

3.2 Functional principal component analysis modeling

Let $C = \{\gamma_1, \ldots, \gamma_N\} \subset P$ be a set of N curves. The Functional Principal Component Analysis (FPCA) process consists in modeling C with its mean curve $\bar{\gamma}$ and the variance around it. A classic hypothesis is that C comes from an underlying hidden stochastic process $\Gamma : \Omega \times [0,1]$, where Ω is the probability space of all possible outcomes and [0, 1] the time interval. The empirical covariance estimator $\hat{H}$ enables the capturing of the variability of C around its mean $\overline{\gamma}$ using the Karhunen-Loève expansion [47] with $\omega \in \Omega$ and $t \in [0,1]$:

$$\Gamma(\omega,t) = \overline{\gamma} + \sum_{j=1}^{+\infty} b_j(\omega)\phi_j(t) \tag{3}$$

where b_j are real-valued random variables called principal component scores. ϕ_j are the (vector-valued) eigenfunctions of the covariance operator with eigenvalues λ_j. For the discrete implementation of such functional decomposition see [5]. With this model and knowing the mean curve $\bar{\gamma}$ and the principal component functions ϕ_j, a group of curves can be described and reconstructed (Inverse FPCA) with the matrix of the principal component score b_j of each curve. Usually, a finite vector (fixed dimension d) of b_j scores is selected such that the explained variance is more than a defined percentile.

To sum up, each trajectory can be represented through the FPCA process by the *Mean* plus the sum of the *Principal Component Functions* weighted by the *Principal Component Score*. The Inverse FPCA (IFPCA) process consists in reconstructing the trajectory from the *Principal Component Scores* knowing the *Mean* and the *Principal Component Functions*.

4 Tools

This section is divided into two parts. Section 3.1 explains the curve generation process, and Sect. 3.2 presents the clustering task.

4.1 Curve generation

In [5], Hurter et al. generated curves with a random selection of principal coefficient scores with a centered independent simple Gaussian distribution hypothesis. Usually, coefficients are not simply Gaussian. Consequently, curves generated with this model do not present realistic behavior. Two alternatives are proposed in the following.

4.1.1 Neighborhood generation

To ensure the generated curves are sufficiently realistic, a neighborhood generation was developed in this study. The curve regeneration process needs to take into account an important number of principal coefficients (typically more than 60% of the total principal components). The process is as follows: First, each principal coefficient of the dimension variance is computed using a Gaussian centered model. Then, a curve is randomly selected and its principal component scores are kept. Finally, a new score is randomly generated in the neighborhood of the selected sample. The range of the neighborhood is defined with the variance among each dimension. In addition, the user is able to tune a α coefficient between 0 and 1 that is multiplied to the range of the neighborhood. This coefficient enables the user to modify the similarity between the original and the generated trajectory. The following Algorithm 1 illustrates an implementation of the neighborhood algorithm.

Data: $b_{ji} \in \mathbb{R}^d$, $1 \leq j \leq N$, and $1 \leq i \leq d$, matrix of the N principal scores, with d the dimension of the principal component scores
Var is the variance operator
$Random(a,b)$ gives a random int between a and b
Result: Generate a new principal component score
begin
  for $i = 1,...,d$ **do**
    $\sigma_i^2 \leftarrow Var\{b_{1i},...,b_{Ni}\}$
  end
  $\sigma^2 \leftarrow \{\sigma_1^2,...,\sigma_N^2\}$;
  $k \leftarrow Random(1,N)$;
  return $s \in \mathscr{E}(b_k, \alpha \cdot \sigma^2)$
end

Algorithm 1: Neighborhood generation, α coefficient between 0 and 1 defines the neighbor threshold. $\mathscr{E}(b_k, \alpha \cdot \sigma^2)$ is the elipsoïd of center b_k and semi-axis σ^2

4.1.2 Multivariate Gaussian mixture model generation

An alternative to the neighborhood generation model consists in applying a multivariate Gaussian Mixture model, i.e. an Expectation-Maximization (EM) algorithm [48], on the principal component scores that concentrate more than a user-defined percentage of the explained variance. This process does not assume the independence of the principal component scores and enables a richer representation with a Gaussian Mixture instead of a simple Gaussian Distribution. With this generation, it is usually more difficult or even impossible to properly estimate the distribution for a large number of components. This is the well known problem referred to as the curse of dimensionality [49]. In high dimensional space, the volume of space increases rapidly and samples are usually isolated. The choice was made in this study to estimate only the distribution of the first components that explain most of the variance with the dependence hypothesis. The last components, which mostly correspond to the noise, are then assumed to be independent.

4.2 Clustering

4.2.1 Clustering for regeneration

Clustering is a very important initial step before applying the FPCA process. To be efficient, FPCA must operate on clusters with representative mean curves. A two step clustering process was derived for this study. A cutting down

clustering, which aims at reducing large data-sets, is applied in a first step. For example, one can use a k-means clustering (or other simple literature algorithms) on arrival or departure trajectory locations. For the study of aircraft landing trajectories, the initial clustering is here done on the destination runways. The second step is to apply a refinement clustering based on the FPCA decomposition score. Displaying first coefficient dimension, the user is able to apply another clustering algorithm to group together similar trajectories. The choice of the Expectation-Maximization algorithm [48] is made here but other algorithms such as k-mean [50], or Hierarchial Density-Based Spatial Clustering of Applications with Noise (HDBSCAN) [51] are also applicable. The choice of the algorithm and/or the number of clusters should be guided by the visualization of the FPCA score and by expert knowledge of the investigated data-set. In addition, the user is able to select the number of dimensions of the principal component score to use for the clustering and visualize the clustering result on the trajectory to decide which clustering method produces the most representative clusters (i.e. mean curve dissimilarity).

4.2.2 Clustering for Classification

The distribution of the principal component score can be used to cluster data. Indeed, the finite dimension representation enables the computation of distance. Besides, the euclidean norm of the principal component score is equal to the L2-norm in the Sobolev Space [9]. In a situation where the behavior of the group of trajectories to classify is known, this knowledge can be used to define a classification process using unsupervised learning techniques. First, trajectories are decomposed using the FPCA process. Then, the HDBSCAN [51] clustering algorithm is applied to all the trajectories principal component scores. Since the FPCA process clusters together similar data, it means that similar trajectories will be grouped together. HDBSCAN is really highly efficient in determining density-based clusters with irregular shapes, i.e. clusters that are generated from the same distribution with no assumptions on the type of distribution. In addition, the HDBSCAN algorithm gives the probability of being in a cluster. Knowing the behavior of the group to be detected, it is possible to identify to which cluster it corresponds. Finally, the user defines a probability value above which the trajectory is attributed to a cluster. This enables the user to choose the characteristics of their classification algorithm in terms of accuracy or specificity.

4.3 Data cleaning

To improve generation efficiency, the user must remove outliers that are not considered as representative members of a class of trajectory. This data cleaning process is also an important step for clustering refinement. Since curves are already clustered, it is possible to define the probability that a sample is in a cluster. This probability is usually defined using the distance between a sample and a representative sample of the cluster. Therefore, outliers can be considered as samples whose probability of being in a cluster is lower than a threshold value. The user is able to select this likelihood threshold and therefore select outliers they wish to remove. It is also possible to define an interaction to perform outlier cleaning by visualizing the principal coefficient scores and selecting the samples to remove. This interaction is similar to a brushing interaction but is carried out in the principal component score space.

In Fig. 2, the process of outlier detection is illustrated. Each ellipse represents a likelihood value level, which is user defined.

4.4 Visual simplification (Edge bundling)

The edge bundling is performed thanks to the Inverse FPCA process. This reconstruction method uses all principal component functions. However, one can choose to reconstruct the trajectories with only a certain number of principal components. In [5], Hurter et al. suggested removing the component by a percentage of the explained variance.

Usually, 99% of the variance is explained by a small number of components, less than 10% of the total number of principal components. A bundling coefficient between 0 and 1 was defined as representing the percentage of explained variance kept: A value of 0 means that only the mean curve is kept, while a value of 1 means that the original trajectory is fully reconstructed. For computational purposes, the transition between 0.99 and 1 is achieved by adding 90% of the principal components. In terms of total data set variance

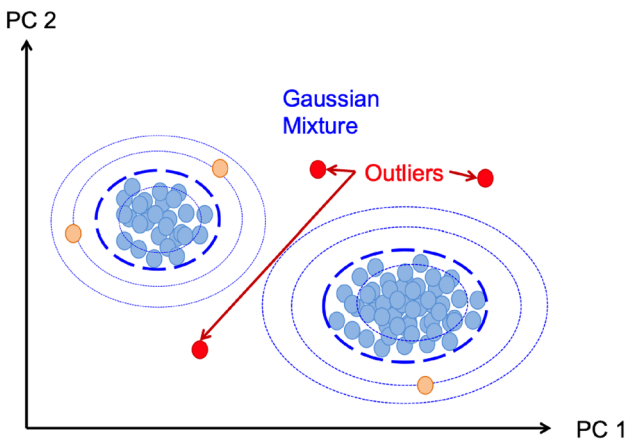

Fig. 2 Illustration of the data cleaning or outlier removal process. The blue colored dots represent the different likelihood levels. The user is able to select a likelihood level outside of which a sample is considered as an outlier

this is negligible. However, individually this transition may induce very large modifications to the behavior of certain trajectories.

To avoid this type of visual artifacts, the current study favors a piece-wise linear mapping for the bundling coefficient. For example, from 0 to 0.90, add the number of components equivalent to 0 to 99% of the variance. For the last 0.9 to 1 add the remaining components linearly. Figure 3 shows the bundling result with a set of landing trajectory and increasing explained variance (0%, 25%, 50%, 75%, 100%).

5 Curve shape modification

While simple trajectory deformations can be performed with Cartesian dimensions, it become more complex with additional data dimensions such as altitude. Furthermore, deformation becomes cumbersome when it has to be applied to many trajectories. FPCA can help solely with the deformation of the cluster mean curve and its principal components to modify every trajectory of the investigated cluster. Hurter et al. [5] only modified the mean curve to perform trajectory modifications, which leads to many visual artifacts. Indeed, the mean curve modification is not sufficient, the principal components also have to be modified to correctly model the temporal behavior which was embedded in the undistorted original FPCA model. Modifying the trajectory behavior implies being sure that the principal components, and therefore their underlying variation on the mean curve behaviour, are applied at the right timestamp. Modifying the mean curve without insuring that the role of the principal components was not modified, resulted in most cases, with aberrant curve behaviors.

In Fig. 4 good and poor usage of curve modifications are illustrated. This shows aircraft vertical profiles (altitude function of the distance) modifications, where the landing procedure was increased in altitude (1000ft higher for noise sustainability issues). This use case will be further detailed in Sect. 6.2.1. The bottom Fig. 4 shows the result of the solely mean curve modification. In this case, the principal components are no longer aligned with the mean curve and result in an unrealistic trajectory with artifacts around the level-off flight (red circle in Fig. 4). The top Fig. 4

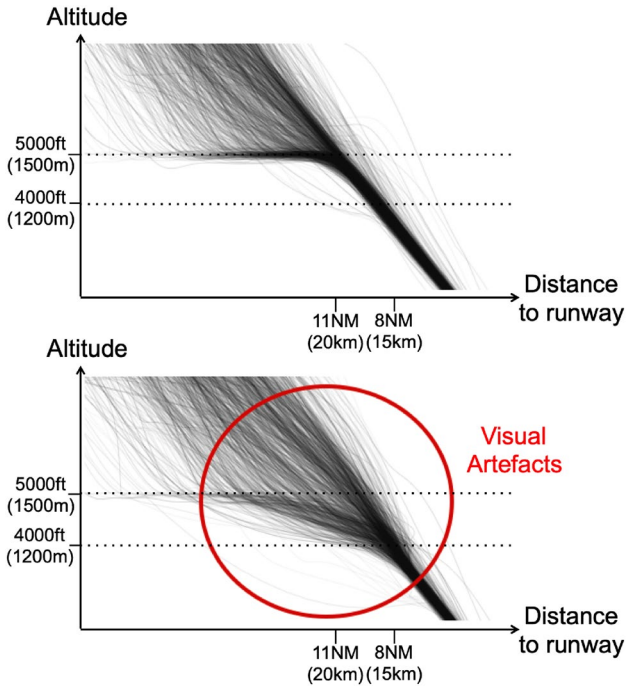

Fig. 4 This figure illustrates examples of good and poor usage of curve modifications for aircraft approach trajectory altitude profile. At the top, both the mean and the principal components were modified. At the bottom, only the mean was modified. The behavior observed while modifying only the mean curve presents artifacts. Level-off flight was expected, but the trajectories present descent phases. These behaviors are not nominal and underline that the process was not executed properly

The mean curve modification and its principal component modifications are not an easy task. First, a curve registration is needed to align curve landmarks. In our case, trajectories are aligned by distance (along the curve) with respect to the runway threshold considering that the time stamp 0 is the last point over the runway threshold. Then, the key idea is to apply the same temporal modifications to both the mean and the principal components functions.

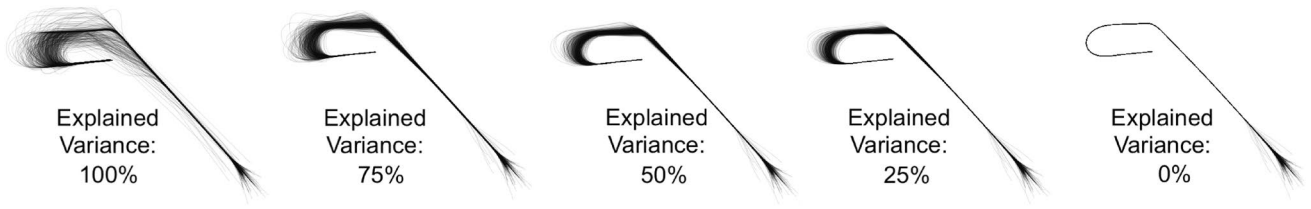

Fig. 3 This figure illustrates the Edge Bundling (i.e. trail visual simplification) process for landing trajectories at Charles de Gaulle Airport. On the left side, the bundling coefficient is chosen so that it explains 100% of the variance, i.e. the original curves are represented. On the right side, the full bundled curves, i.e. the mean curves, are shown. The other curves represent respectively 75%, 50%, and 25% of the explained variance

This enables a consistent modification which produces reliable results without artefacts.

Curves translation: The translation operator of a curve γ is defined as v the translation vector for any $t \in [0,1]$ as :

$$\text{Translation}_{\gamma}(v)(t) = \gamma(t) + v \tag{4}$$

This is the sole operator that can be applied only to the mean curve since it does not affect landmark time position.

Curves 2D rotation: The 2D rotation of a curve $\gamma = (\gamma_x, \gamma_y)$ at time t_1 with angle θ for any $t \in [0,1]$ as is defined as:

$$\text{Rot}_{\gamma}(t_1,\theta) = \begin{cases} \gamma(t), \text{ if } t < t_1 \\ \gamma(t_1) + \begin{pmatrix} cos(\theta) & -sin(\theta) \\ sin(\theta) & cos(\theta) \end{pmatrix} (\gamma(t) - \gamma(t_1)) \quad \text{else} \end{cases} \tag{5}$$

Temporal compression and dilatation: Temporal compression/dilatation operator of a curve γ between t_1 and t_2 with the compression coefficient $\alpha \in \mathbb{R}^+$ with $t_{CD} = \frac{t_2 - (1-\alpha)\cdot t_1}{\alpha}$, the temporal compression/dilatation is defined for any $t \in [0,1]$ as :

$$\text{TCD}_{\gamma}(t_1,t_2,\alpha)(t) = \begin{cases} \gamma(t), & \text{if } t < t_1 \\ \gamma(\alpha \cdot t + (1-\alpha)\cdot t_1), & \text{if } t_1 \le t < t_{CD} \\ \gamma(t - (t_{CD} - t_2)), & \text{if } t \ge t_{CD} \end{cases} \tag{6}$$

Curve temporal cut or extension: The cutting or extending operator of a curve γ at t_1 with width $\delta_t \in [-t_1, 1-t_1]$, for any $t \in [0, 1-\delta_t]$ is defined as :

$$\text{CE}_{\gamma}(t_1,\delta_t)(t) = \begin{cases} \gamma(t), & \text{if } t < t_1 \\ \gamma(t+\delta_t) + \gamma(t_1) - \gamma(t_1+\delta_t), & \text{elif } t < 1-\delta_t \end{cases} \tag{7}$$

In addition, this operator modifies the definition interval of the curve. A good use consists in applying the TCD (Eqn. 6) operator between 0 and $1-\delta_t$ with compression coefficient $\alpha = 1-\delta_t$.

Smoothness: The three last operators (Eqn. 7, Eqn. 6, Eqn. 5, only insure the continuity but do not ensure the smoothness of the obtained curve. Nevertheless, it may be restored for an operational or visual purpose using an additional filtering algorithm (Laplacian filtering or other).

6 Pipeline

The Fig. 5 shows the pipeline with a trajectory data-set as input data. The first step performs an initial clustering to reduce the data-set size into clusters with similar trajectories. This initial clustering is data-set dependent. For instance, with aircraft trajectories, it can be performed on departure or arrival airport. The FPCA process can then be applied to each cluster to compute the mean curve, the principal component functions and the principal component scores.

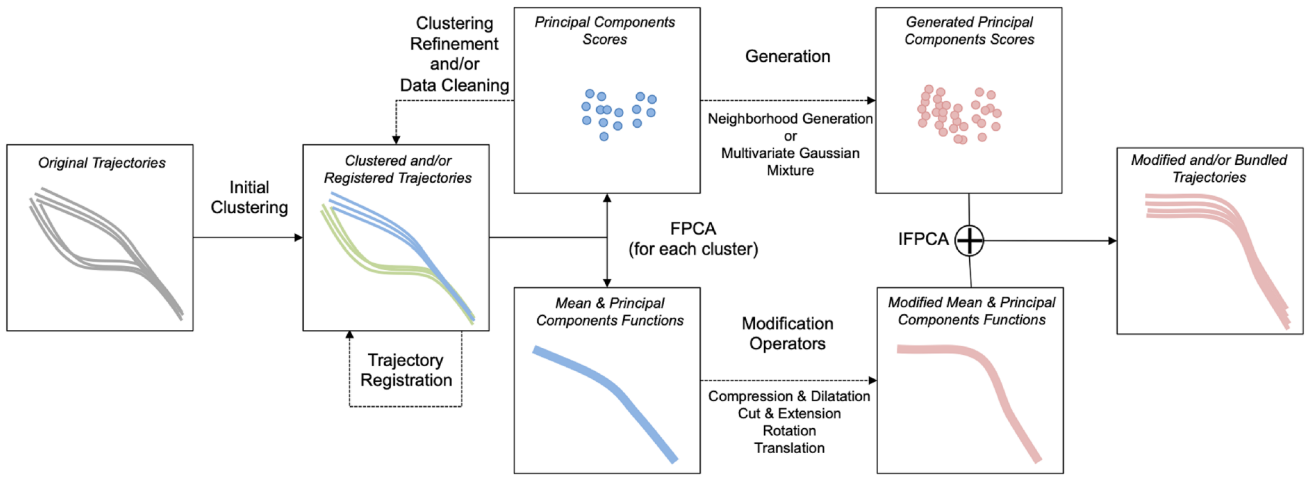

Fig. 5 Illustration of our pipeline. On the left, the input curve data-set passes through an initial clustering step. After this, trajectory registration based on landmarks is applied. Then, the FPCA process is computed for the first time for each cluster. This FPCA process gives two pieces/elements of information: the principal component scores (top figure) and the mean curve with the principal component functions (bottom figure). The principal component scores are used in two situations: First for clustering refinement and data cleaning, second for the generation process. Generation consists in estimating the principal component score distribution and in generating new samples following the estimated distribution. During the modification operations, the mean and the principal component functions are processed. It consists in applying modification operators (rotation, translation, dilatation) to obtain the desired distortion. Finally, the Inverse FPCA process enables the trajectory to be reconstructed with the new distribution (i.e. increase or decrease in trajectory number) and behavior

Then, a clustering refinement step can be computed based on the principal component scores as previously explained. User input is needed at this clustering step: with the suggested EM algorithm, the user has to define the number of clusters and the number of principal components to use.

The following step shows different possible trajectory processing. The Inverse FPCA produces size varying trajectory with respect to their shape and statistical properties. Two different types of trajectory generation are available 3.1

7 Use cases

This section is divided into two parts. Each part illustrates a specific feature of the pipeline (Fig. 5). The first part illustrates the clustering process, the second one the trajectory modification operator through concrete examples.

7.1 Clustering and classification

7.1.1 Clustering refinement

In this section, the clustering method based on the FPCA is illustrated. To show the flexibility of our method, it is applied to a different data-set. We use the trace of 150352 brain fibers extracted from high fractional anisotropy areas in a $128 \times 128 \times 51$ DTI volume (dataset from Everts et al. [52]). The initial clustering with a 5-means on the departure arrival points was applied. Then a refinement clustering of the FPCA decomposition of each cluster using HDBSCAN algorithm was applied. On Fig. 6 we represented on the left image the initial clustering, then we selected one cluster to which a second FCPA process we applied. Finally, on the right, 4 clusters were extracted. Clustering refinement is an important task before further FPCA usage to insure an optimal mean curve and principal component extraction.

7.1.2 Landing sequence classification

In this section we will apply the unsupervised classification process defined in Sect. 3.2.2 to the identification of landing procedure at Bordeaux Merignac airport (one of the major airports in France). When landing on runway 05, aircraft follow four kinds of trajectory (RNAV,Visual-RNAV, VOR+DME arc, other radar vectoring). The RNAV approaches, are GNSS paths. They are very characteristic since they follow a path from defined way-points (geographical points on a map). It also means that this type of approach will be very similar in the FPCA space. We recorded 2597 suitable landing sequences (one record every 4 seconds, 995963 points in total) during a three month period in 2018 (summer time). We fixed the probability threshold of each cluster in order to minimize the false positive samples. Indeed, we know the behaviour of these approach trajectories, so we fixed the threshold such that all the detected trajectories correspond to this behavior.

Figure 7 shows the result of the clustering algorithm where classes of landing sequence are clearly separated within four clusters. Figure 7 bottom shows the distribution of the first four principal components with the identified cluster. Figure 8 illustrates the influence of each principal components on the mean curve. As an explanation, we observe that influence of PC1 in the 2D trajectories path is mainly the position of the base leg. Therefore, it explains the spatial disparity between blue vs green and purple PC1 values in Fig. 7.

7.2 Curve modification and generation

7.2.1 Interception altitude modification

After The Grenelle de l'environnement 2018 annual meeting to discuss sustainability issues, landing procedures at Charles de Gaulle airports were raised by 1000ft (around

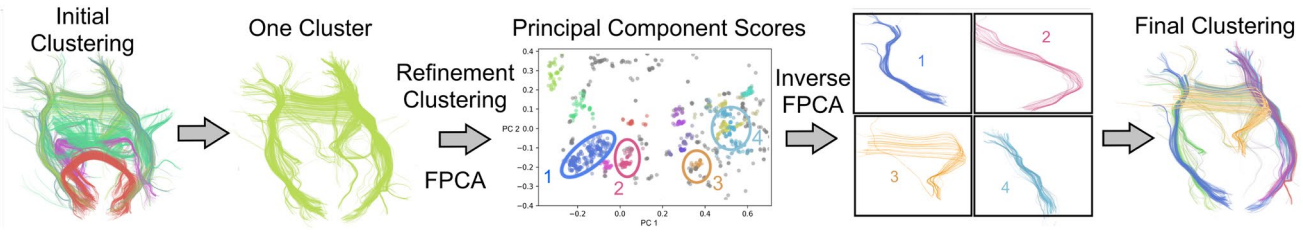

Fig. 6 This figure illustrates the clustering process applied to a brain fibers data-set. On the left side are illustrated the fibers after an initial clustering using 5-means clustering algorithm on the origin-departure pair of points. From this clustering, the FPCA process is applied to each cluster. Then, the HDBSCAN algorithm is applied to the principal component score in order to extract subclusters. On the right side are illustrated four of the extracted clusters and the full two step clustering result

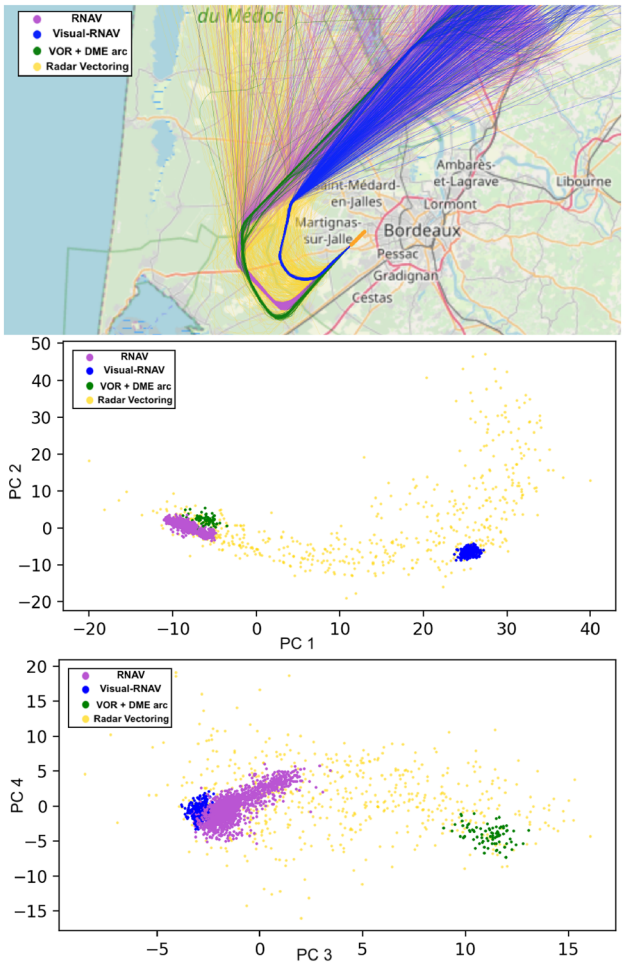

Fig. 7 This figure illustrates the clustering task of the landing trajectory at Bordeaux airport. On the top image is illustrated the result of the classification of the Bordeaux airport approaches from the 2017 data records. At the bottom, the first four principal component score distributions are represented

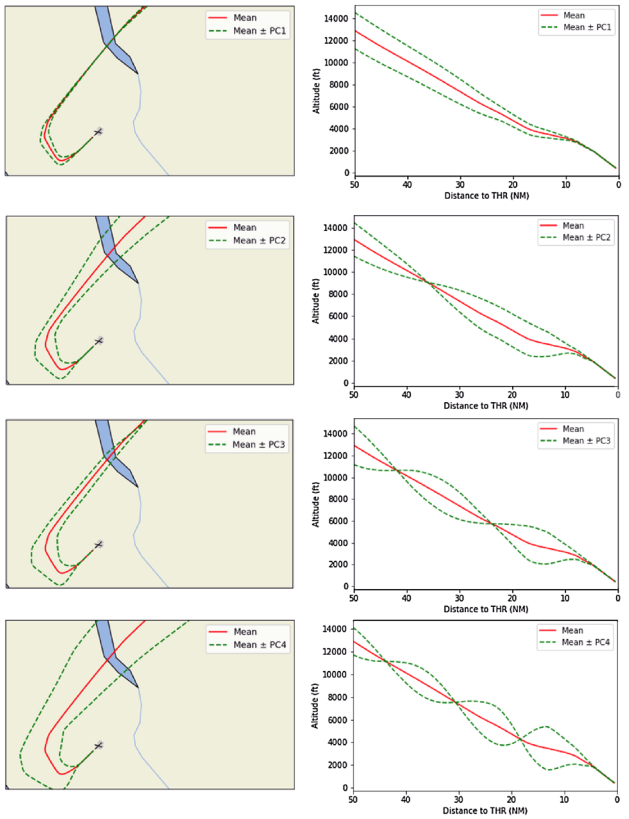

Fig. 8 This figure illustrates the mean curve and the four first principal components around the mean curve for landing trajectories at Bordeaux airport. On left side are represented the longitudinal parameters, on right side the altitude profile

300m) to reduce noise emission. In this regard, we worked in collaboration with the Environmental Office of the French Civil Aviation Authority.

In this section, we report the simulation results where we processed traffic before the rise and modified them with the Grenelle 300 meter rise. We then computed the resulting noise emission and compared it with the actual trajectories after the rise. This comparison provides a good assessment of the accuracy of the trajectory generation and modification pipeline.

The process is the following. First, curves were registered by distance considering the starting point at the runway threshold. Second, the FPCA decomposition and a clustering refinement of the trajectories with the EM algorithm [48] were applied.

Then, for each cluster, curves were modified to follow the 300 meter pull-up. Two timestamps are inferred: t_1, the timestamp of the mean curve at 1000ft (300m), t_2 the timestamp of the mean curve at 2000ft (600m); and the difference $\delta_t = t_1 - t_2$ is computed. Finally, the curve extension operator $CE_\gamma(t_2, \delta_t)$ is applied.

The generated trajectories are then studied through Eurocontrol IMPACT software that uses Base of Aircraft Data (BADA) models [10] and standard profiles, and Aircraft Noise and Performance (ANP) data base, which includes Noise Power Distance (NPD) tables to computed noise maps from estimated thrust. This is a compliant procedure with International Civil Aviation Organization (ICAO) DOC 29 Vol. 2 [53] regarding noise contour assessment for ANSPs. Figure 9 illustrates, on top, the noise level for the real traffic (after the altitude rise), and at the bottom, the noise generated from the pipeline with the modified traffic. The noise indicator is the NA62 indicator which is computed over one day of traffic. This indicator is mainly used by the environmental office. It corresponds to the number of aircraft emitting noise above 62dB during the period. The area for 5 to 20 events above this threshold is represented here.

The result shows that this noise computation is close to the actual recorded noise with main identical parts even if

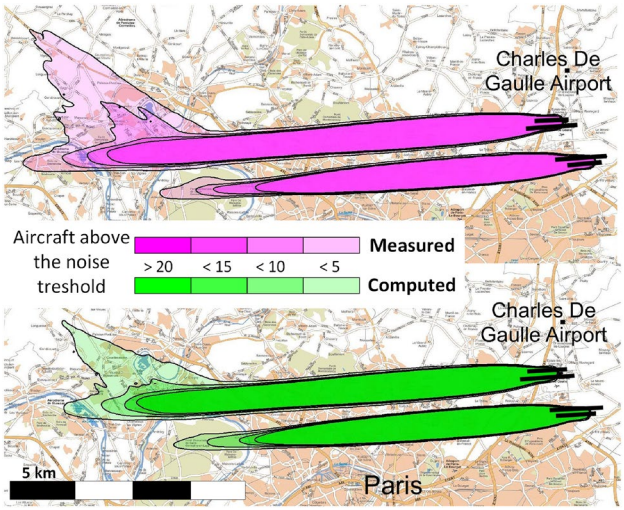

Fig. 9 At the top, the noise NA62 indicator map of the real aircraft traffic (aircraft noise above 62dB). At the bottom, the same indicator map for the simulated traffic obtained with the pipeline. The modification consists in raising by 1000ft (300m) the level-off flight of landing aircraft before landing. This modification was applied following the Grenelle de l'environnement for noise reduction purposes

a few differences in terms of areas can be observed. The simulation covers 92% of the area because the real noise map is slightly more extended on the left side. This is due to the fact that in the real context, approaches tend to have a longer level-off flight before starting the final descent. Nevertheless, this shows that the pipeline can produce valuable simulated trajectories and can be used for realistic flow simulation.

7.2.2 New departure flow investigation

Before building a new aircraft departure flow, it is valuable that it is simulated and its noise impact assessed. This study's pipeline assists in this matter. To test such a possibility, we considered a novel departure flow at Nantes-Atlantic Airport (one of the major airports in France) using an existing flow at Bordeaux-Merignac Airport as a reference model. Such flow duplication is not straightforward since the original departure flow (i.e. Bordeaux) has to be modified to follow mandatory way points at the destination airport (i.e. Nantes).

As a result, Fig. 10 represents at the top the original flow of trajectories at Bordeaux and at the bottom the generated and distorted departure flow with the pipeline after its modification to fit Nantes airport landing procedure. To make this transformation several steps are needed. First, we apply a translation whose vector is the difference between the coordinates of the two runway thresholds. Second, the angle θ_0 between the two runway headings is computed and a rotation $Rot(0, \theta_0)$ is applied to align the final approach paths. Third, knowing the distances d_1 between the runway and the

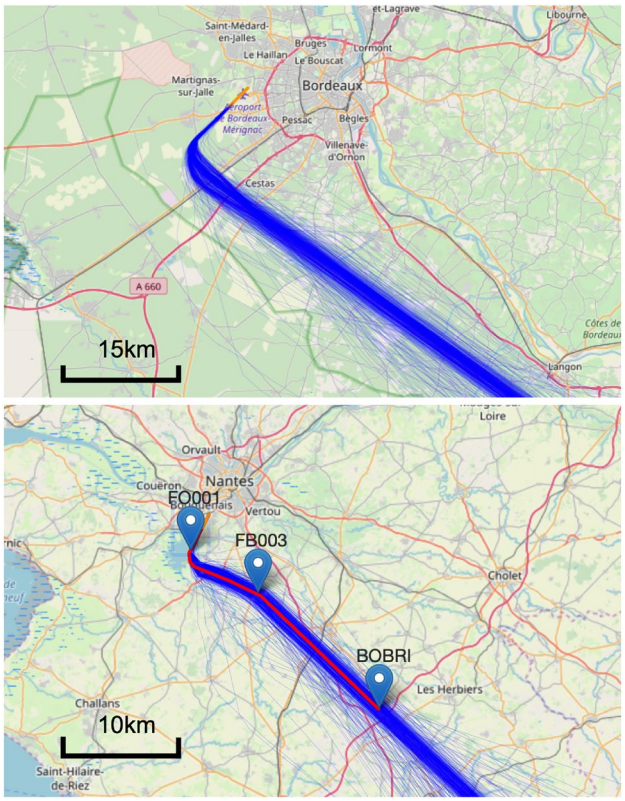

Fig. 10 This figure illustrates the departure procedure deformation. On top, the original trajectories at Bordeaux Airport are represented. At the bottom, the modified trajectories at Nantes Airport. In addition, the expected procedure following different aeronautical way points is shown in red

Final Approach Fix $EOOO1$, d_2 between $EOOO1$ and waypoint $FB003$, and d_3 between $FB003$ and waypoint $BOBRI$, corresponding timestamps (t_1, t_2, t_3) from mean curve are inferred. We first consider the angle $[THR, EO001, FB003]$ and compute the angle difference θ_1 with the corresponding angle on the mean curve after the first rotation $[\mu(0), \mu(t1), \mu(t2))]$. Then, we apply the rotation $Rot(t_1, \theta_1)$ of angle θ_1 at timestamp t_1. Finally, we consider the angle $[EO001, FB003, BOBRI]$ and compute the differences θ_2 with the corresponding angle on the the mean curve after the second rotation $[\mu(t1), \mu(t2), \mu(t3)]$ and apply the rotation $Rot(t_2, \theta_2)$ of angle θ_2 at timestamp t_2.

7.2.3 User interactions

In this version of the software, the interactions have been implemented in a simple way. To modify the interception altitude, the user chooses the curve extension mode, he enters the reference altitude (1000ft) and the deviation (+1000ft), the software infers the time delta and applies the curve extension operator. For the modification of the departure flow, the translation and rotation from one airport

to another are automatically computed from the runway coordinates. Then, the user chooses the rotation mode to modify the trajectories. For each of the successive rotations, he enters the coordinates of the four points to rotate: the three points that make the original angle, and the final point after rotation. The software infers the angle and applies the rotation to the intermediate point.

Potential improvements can be made to enhance the process by making it even more interactive. We can imagine a drag-and-drop interaction to directly modify the trajectories and as an aid to the user, the software could automatically give information about the modification of the rotation angle in degrees or the modification of the altitude in feet.

8 Discussion and FPCA good practice

This section discusses the paper outcomes (clustering, trajectory distortion, trajectory generation) and provides a summary of FPCA good practice.

The objective of clustering refinement is to compute cluster with consistent mean curve. As previously explained, the FPCA process is efficient when groups of curves have similar shapes to correctly capture their variability around a mean curve. In addition to the clustering refinement, it is also important to remove outliers which may impact the mean curve and potentially induce weak results in the next FPCA processing steps.

The system, derived from the pipeline, contains a set of specific tools for trajectory modifications. Modifying the mean curve without keeping the principal components aligned will generate artifacts. Users need to visually assess the modification results and fine tune the regenerated curves. Currently, the methodology has predefined modification presets, but in our future work, the user will be given the ability to choose the modifications and interact on the mean and on the principal components to directly see the effect on the regenerated curves.

For the generation process, the user has to select which kind of generation process they wish to apply and define how many curves to generate. The size of the original data-set can be adjusted while keeping a consistent distribution or extending the data-set in number to simulate traffic growth or decrease. The choices in the generation process and in the parameters are guided by the visualizations of the principal component score and the desired proximity in shape to the original trajectories. Besides, the user can also adapt the generation process with the visualization of the reconstructed trajectory and ensure that the generated curves have the shape expected.

As a summary, recommendations for efficient usage of FPCA tools for trajectory analysis are provided here:

- Trajectory registration: This initial step is mandatory to efficiently capture the variance around the mean curve of the considered clusters,
- Initial clustering: An initial clustering is mandatory to have a meaningful mean trajectory,
- Trajectory deformation: trajectory deformation only operates with the mean curve deformation associated with the principal component function modifications,
- Trajectory generation: many possible methods exist to increase or decrease the number of trajectories. We proposed three methods taking into account the global, local and neighbor variance.

9 Conclusion

In this paper, we propose a new approach to analyze trajectories from a functional decomposition perspective for the underlying data-set. Thus, we developed a functionally based pipeline to support the following trajectory processing: clustering, trajectory deformation and trajectory generation. Thanks to the pipeline, trajectories can be clustered taking into account trajectory curvature and their variability around the mean curve. This provides another clustering tool which mainly considers trajectory shapes as a grouping parameter. Through concrete examples, an aircraft path deformation and the corresponding noise computation, we show that the pipeline can produce reliable solutions for trajectory simulation. The results seem to be relevant regarding operational metrics. Rather than processing every trajectory to deform it and make it compliant with new air traffic flow constraints, the pipeline enables the deformation of a single mean curve to produce an equivalent result. Furthermore, this pipeline is flexible, since the user can also increase or decrease the number of trajectories while keeping a coherent distribution around the mean curve.

While we show quantitative and accurate results with this pipeline, many improvements can be considered. Firstly, FPCA tools need some fine tuning and the underlying parameters require some prior knowledge in statistical tool manipulations. Secondly, the pipeline provides trajectory deformations applied to the mean curve and the principal component of the considered cluster. We currently provide simple transformation like rotation, stretching and bending. Some additional work is needed to make this transformation applicable to any kind of trajectory.

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